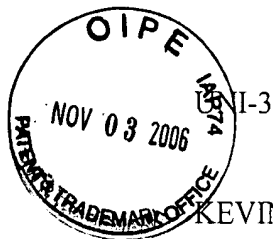


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION



KEVIN BROWN, ET AL.

Application No. 10/576,436

Filed: 20 April 2006

FALL ARREST DEVICE AND SYSTEM  
INCORPORATING THE SAME

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF DECLARATION

In response to the Notification of Missing Requirements, mailed in the captioned application on October 23, 2006, enclosed herewith is an originally signed Declaration and Power of Attorney. Also enclosed are the following:

1. Form PTO-2038, authorizing a charge in the amount of \$65, in payment of the surcharge for delayed submission of the executed Declaration; and
2. the return copy of the PTO Notice.

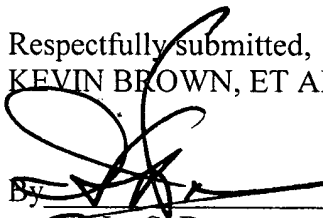
Please charge any deficiency in the payment to Deposit Account No. 502982.

11/07/2006 ATRAN1 00000126 10576436

01 FC:2617

65.00 DP

Respectfully submitted,  
KEVIN BROWN, ET AL.

By 

Ira S. Dorman  
Attorney for Applicants  
Reg. No. 24,469  
Tel.: (860) 528-0772



CERTIFICATE OF MAILING

I, IRA S. DORMAN, hereby certify that this correspondence is being deposited with the United States Postal Service, First Class mail, postage prepaid, in an envelope addressed as set forth on the first page hereof, on November 1, 2006.

cc: Derek Jackson Associates  
(Ref. P0888)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/576,436	Kevin Brown	UNI3

INTERNATIONAL APPLICATION NO.	
PCT/GB04/04294	
I.A. FILING DATE	PRIORITY DATE
10/11/2004	10/21/2003

Law Office of Ira S. Dorman  
 330 Roberts Street  
 Suite 200  
 East Hartford, CT 06108

**RECEIVED**

OCT 26 2006

IRA S. DORMAN

CONFIRMATION NO. 2435

371 FORMALITIES LETTER



\*OC000000020916630\*

Date Mailed: 10/23/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/20/2006
- Copy of the International Search Report filed on 04/20/2006
- Copy of IPE Report filed on 04/20/2006
- Copy of Annexes to the IPE filed on 04/20/2006
- Preliminary Amendments filed on 04/20/2006
- Information Disclosure Statements filed on 04/20/2006
- Oath or Declaration filed on 04/20/2006
- Small Entity Statement filed on 04/20/2006
- Request for Immediate Examination filed on 04/20/2006
- U.S. Basic National Fees filed on 04/20/2006
- Priority Documents filed on 04/20/2006
- Specification filed on 04/20/2006
- Claims filed on 04/20/2006
- Abstracts filed on 04/20/2006
- Drawings filed on 04/20/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65 Surcharge.**

Additionally the following defects have been observed:

- Annexes have not been entered because pgs 4 & 6 and amended clms did't fall into consecutive order.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/576,436	PCT/GB04/04294	UNI3